

<p style="text-align: center;">Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450</p>	<p>REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK</p>
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court for the Southern District of New York _____ on the following

1. Trademarks or Patents. (the patent action involves 35 U.S.C. § 292.);

2. DOCKET NO.	3. DATE FILED	4. U.S. DISTRICT COURT 500 Pearl Street, NY NY 10007
5. PLAINTIFF		6. DEFENDANT
7. PATENT OR TRADEMARK NO.	8. DATE OF PATENT OR TRADEMARK	9. HOLDER OF PATENT OR TRADEMARK
1		
2		
3		
4		
5		

Steps to fill out a PATENT or TRADEMARK Form:

*The red number for each step connects with the red number step on the form

- 1.** Determine whether this is a TRADEMARK or a PATENT case by selecting the check off box it relates to
- 2.** DOCKET NO.: enter the case number you are filing on behalf of, e.g., 01cv1234 (SHS)
- 3.** DATE FILED: enter the date the complaint is filed
- 4.** ENTER U.S. DISTRICT COURT LOCATION: Southern District of New York
- 5.** PLAINTIFF: Enter the name of the Plaintiff. If multiple Plaintiffs add “et al.” after the first named Plaintiff
- 6.** DEFENDANT: Enter the name of the Defendant. If multiple Defendants add “et al.” after the first named Defendant
- 7.** PATENT OR TRADEMARK NO.: enter in the patent or trademark no., e.g., 3,577,734
- 8.** DATE OF PATENT OR TRADEMARK: enter the date that the patent or was registered
- 9.** HOLDER OF PATENT OR TRADEMARK: name the person or business holder

If including new patent numbers, fill in the second section, as follows:

- 10. DATE INCLUDED:** enter the date the additional patent or trademark were included in the case.
- 11. INCLUDED BY:** select the check off box which this form relates to – Amendment, Answer, Cross Bill or Other Pleading
- 12. PATENT OR TRADEMARK NO.:** enter in the patent or trademark no., e.g., 3,577,734
- 13. DATE OF PATENT OR TRADEMARK:** enter the date that patent/trademark was registered
- 14. HOLDER OF PATENT OR TRADEMARK:** name the person(s) or business holder

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

10. DATE INCLUDED	11. INCLUDED BY <input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading	
12. PATENT OR TRADEMARK NO.	13. DATE OF PATENT OR TRADEMARK	14. HOLDER OF PATENT OR TRADEMARK
1		
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In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK

(BY) DEPUTY CLERK

DATE

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Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director
Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy