

**EXHIBIT K – CASE MANAGEMENT ORDERS FOR EACH MASTER DOCKET**

**For Master Docket 21 MC 100**

[item 1]

**For Master Docket 21 MC 102**

[item 2]

**For Master Docket 21 MC 103**

[item 3]

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

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: **CASE MANAGEMENT**  
IN RE WORLD TRADE CENTER DISASTER : **ORDER NO. \_\_\_\_\_**  
SITE LITIGATION :  
: 21 MC 100 (AKH)  
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----- X  
ALVIN K. HELLERSTEIN, U.S.D.J.:

This Case Management Order No. \_\_\_\_ shall apply to all plaintiffs with cases pending as of April 12, 2010, and all new plaintiffs filing cases after April 12, 2010 (“Plaintiff” or “Plaintiffs”). The requirements imposed upon Plaintiffs by this Order shall apply only as against those actual or potential defendants identified on Exhibit A hereto (“Listed Defendants”).

1. Filing of Amended Complaints. Each Plaintiff with an existing case as of April 12, 2010, shall file and thereafter serve an Amended Complaint satisfying the requirements of the Federal Rules of Civil Procedure (“FRCP”) and this Case Management Order (“CMO”) on Listed Defendants’ Counsel by [DATE] *<date is determined as one hundred and thirty (130) days after the Affirmation of Final Settlement Agreement>*, if that Plaintiff’s case is not dismissed with prejudice prior to this deadline pursuant to the final settlement agreement. Plaintiff’s counsel shall comply with Rule 11 of the FRCP when filing any Complaint provided for herein.

2. Content of Complaints. New Plaintiffs that file cases or Plaintiffs that amend their cases pursuant to Section 1 of this CMO shall include in their Complaint or Amended Complaint, in addition to the information required pursuant to the FRCP, the detailed information identified in Section 5 (e) through (h) herein and also information sufficient to respond adequately to the following:

- a. Reason Plaintiff's Claim Not Time-Barred. The reason Plaintiff's claim is not barred by the statute of limitations as to each Listed Defendant, and, as to the City of New York, not barred by notice of claim requirements;
- b. Facts Supporting Contention That Listed Defendants Not Immune From Liability. A statement of the facts supporting Plaintiff's contention that each particular Listed Defendant is not immune from liability under state and federal law. *See In re World Trade Ctr. Disaster Site Litig.*, 456 F. Supp. 2d 520 (S.D.N.Y. 2006).
- c. Listed Defendants Against Whom Liability is Alleged. The particular Listed Defendants that Plaintiff alleges are liable for his or her alleged injuries at the World Trade Center Site, and the particular factual and legal basis for such alleged liability specific to each particular Listed Defendant that Plaintiff sued. Or, a Plaintiff may provide responses to Request Nos. 11, 12 and 13 of the November 21, 2007 CMO, with specificity as to each particular Listed Defendant that Plaintiff sued.

3. Completion of Database Information. Each Plaintiff with an existing case as of April 12, 2010, shall populate (if not already populated) all required information to the Court database by [DATE] *<date is determined as one hundred and sixty (160) days after the Affirmation of Final Settlement Agreement is executed>*, if that Plaintiff's case is not dismissed with prejudice pursuant to the final settlement agreement. New Plaintiffs that file cases after April 12, 2010, shall populate all required information to the Court Database within thirty (30) days after filing a Complaint in accordance with the FRCP and the requirements of this CMO.

4. Requirement to Serve Case-Specific Expert Report. Each Plaintiff (including each personal representative of an estate of any deceased or incompetent participant in the World Trade Center rescue, recovery and/or debris removal operation claiming injury), and the Plaintiff's counsel, in consultation with such medical advisor(s) as they see fit to consult, shall serve a case-specific expert report stating that there is a causal relationship between the individual Plaintiff's claimed injury and his or her World Trade Center Site service and exposure and the good faith basis for that opinion, executed under oath and subject to the penalties of perjury (a "Case-Specific Expert Report"). Each Plaintiff with an existing case as of April 12, 2010 shall serve his or her Case-Specific Expert Report on Listed Defendants' counsel by **[DATE]** *<date is determined as one hundred and eighty (180) days after the Affirmation of Final Settlement Agreement is executed>*, if that Plaintiff's case is not dismissed with prejudice pursuant to the final settlement agreement. New Plaintiffs that file cases after April 12, 2010, shall serve the Case-Specific Expert Report within ninety (90) days after filing a Complaint in accordance with the FRCP and the requirements of this CMO.

5. Contents of Case-Specific Expert Report. Each Case-Specific Expert Report shall include the following information:

- a. Plaintiff's Information. The Plaintiff's name and date of birth;
- b. Plaintiff's Employer. The name(s) of each and every employer for whom Plaintiff worked at the World Trade Center Site;
- c. Expert's Information. The name, professional address, and curriculum vitae of the physician or medical expert, including a list of all publications authored by the expert within the preceding ten (10) years, and the

foundation for the expert's opinion in relation to his or her professional experience;

- d. Plaintiff's Medical Records. A copy of the Plaintiff's medical records reviewed by the expert prior to the preparation of the Case-Specific Expert Report;
- e. Exposure Dates. The dates during which the Plaintiff alleges to have been exposed at the World Trade Center Site and copies of documents relied upon, if any, as evidence of such alleged exposure;
- f. Exposure Locations. The location(s) at which the Plaintiff alleges to have been exposed at the World Trade Center Site and copies of documents relied upon, if any, as evidence of such alleged exposure. In identifying the alleged locations of exposure, the Plaintiff will make reference to one or more of the locations set forth in the pull down menu or "pick list" used in conjunction with completion of the fields in the Court database administered by TCDI.
- g. Plaintiff's Injury. If Plaintiff does not allege exacerbation of a condition existing prior to Plaintiff's work at the World Trade Center Site, whether the Plaintiff's medical records reviewed by the expert indicate that the Plaintiff experienced an injury causally related to alleged exposures at the World Trade Center Site and, if so: (i) the nature of the alleged injury; (ii) the date of the alleged injury and the date the Plaintiff knew of the alleged injury; (iii) that the alleged injury was not a condition existing prior to

Plaintiff's work at the World Trade Center Site; and (iv) references to the particular medical record(s) relied upon as evidence of such alleged injury;

- h. Exacerbation of Pre-existing Condition. If Plaintiff alleges exacerbation of a condition existing prior to Plaintiff's work at the World Trade Center Site, whether the Plaintiff's medical records reviewed by the expert indicate that the Plaintiff experienced an exacerbation of a pre-existing condition causally related to alleged exposures at the World Trade Center Site and, if so: (i) the nature of the alleged exacerbated condition; (ii) the date of the alleged exacerbated condition and the date the Plaintiff knew of the alleged exacerbation; and (iii) references to the particular medical record(s) relied upon as evidence of such alleged exacerbated condition;
- i. Opinion Regarding Causation Sworn to by Medical Expert. An opinion that there is a causal relationship between the individual Plaintiff's claimed injury and his or her World Trade Center Site service and exposure, prepared by a physician or other medical expert and sworn/affirmed to and subject to the penalties of perjury.

6. Responding to Complaints. The time for Listed Defendants to file a response to any Complaint or Amended Complaint subject to the requirements of this CMO shall not begin to run until after the receipt by counsel for the Listed Defendants of the Case-Specific Expert Report required pursuant to this CMO.

7. Dismissal of Plaintiffs Who Fail to Comply With This Order.

- a. Notice of Non-compliance and Opportunity to Cure. If any Plaintiff fails to comply with any provision of this Order, including without limitation

the timely service of a Complaint or Amended Complaint, the timely population of information to the Court Database, and/or the timely service of the Case-Specific Expert Report, counsel for Listed Defendants shall place Plaintiff on written notice of such non-compliance (“Notice of Non-Compliance”) specifying the non-compliance. Upon receipt of a Notice of Non-Compliance, Plaintiff shall have sixty (60) days to cure his or her Non-Compliance specified in the Notice of non-compliance. During the period wherein non-compliance has not yet been cured, all litigation deadlines applicable to Listed Defendants, including without limitation the deadline to file and serve a pleading responsive to Plaintiff’s Complaint or Amended Complaint, shall be held in abeyance.

- b. Failure to Cure. If, after the passage of sixty (60) days of service of a Notice of Non-Compliance, a Plaintiff fails to cure his or her non-compliance, upon application by the Listed Defendants, the Plaintiff will be subject to having his or her claims, as well as any derivative claim(s), dismissed pursuant to FRCP 41(b) as against the Listed Defendants only.
- c. Extensions of Time. The Court, on motion and for good cause shown, may order an extension of the time to comply with this Order.

8. Order Shall Become Void If Final Settlement Agreement Not Executed. This Order shall become void and of no prospective or retroactive effect as to any Plaintiff or Listed Defendant if affirmation of a final settlement agreement is not executed by all required signatories under the settlement agreement by [date of entry plus 120 days], such date subject to extension of time on motion and for good cause shown by any Listed Defendant.

9. Objections. Any party objecting to the entry of this Order shall file such objection by [date of entry plus 15 days].

**SO ORDERED.**

Date: \_\_\_\_\_, 2010  
New York, New York

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ALVIN K. HELLERSTEIN  
United States District Judge

**EXHIBIT A**

**LIST OF DEFENDANTS TO WHICH THIS ORDER APPLIES**

CITY OF NEW YORK, including the:
BOARD OF EDUCATION OF THE CITY OF NEW YORK
BOROUGH OF MANHATTAN COMMUNITY COLLEGE
CITY UNIVERSITY OF NEW YORK
NEW YORK CITY DEPARTMENT OF EDUCATION
NEW YORK CITY SCHOOL CONSTRUCTION AUTHORITY
A RUSSO WRECKING
ACROW
ALLCOM ELECTRIC
AMEC CONSTRUCTION MANAGEMENT, INC.
AMEC EARTH AND ENVIRONMENTAL
ANTHONY CORTESE SPECIALIZED HAULING LLC
ASG PEST CONTROL
ATC GROUP SERV/DBA ATC ASSOCIATES
ATLANTIC HEYDT CORP.
ATLAS CONCRETE
AVANTI DEMOLITION & CARTING CORP.
BECHTEL CONSTRUCTION, INC.
BERGEN CONCRETE CUTTING
BERKEL & CO. CONTRACTORS, INC.
BIG APPLE WRECKING & CONSTRUCTION
BOVIS LEND LEASE LMB, INC.
BREEZE CARTING
BREEZE NATIONAL, INC.
BRER FOUR TRANSPORTATION
BURO HAPPOLD CONSULT ENG.
C & D FIREPROOFING
C & D PAINTING, INC.
C.B. CONTRACTING CORP.
CANRON CONSTRUCTION CORP.
CANTOR SEINUK GROUP
CERTIFIED FENCE CORP.
CIVETTA COUSINS
CLARCO ENTERPRISE CORP.
COMPONENT ASSEMBLY SYS
COORDINATED METALS, INC.
CORD CONTRACTING CO., INC.

CRAIG TEST BORING
CRITICOM INTERNATIONAL CORP
DAKOTA DEMO-TECH
DESIMONE CONSULTING ENGINEERS, PLLC
DCM ERECTORS, INC.
DIAMOND POINT EXCAVATION CORP
DIEGO CONSTRUCTION
DIVERSIFIED CARTING
DMT ENTERPRISE
D'ONOFRIO GENERAL CONTRACTORS CORP.
EAGLE LEASING & INDUSTRIAL SUPPLY (SEASONS)
EAGLE ONE ROOFING CONTRACTORS, INC.
EAGLE SCAFFOLDING CO. (SEASONS)
EJ DAVIES, INC.
EN-TECH CORP.
ENTERTAINMENT PARTNERS
ET ENVIRONMENTAL
EVERGREEN RECYCLING OF CORONA (EROC)
EWELL W. FINLEY, P.C.
EXECUTIVE MED SERVICES, PC
F&G MECHANICAL CORPORATION
FELIX EQUITIES, INC.
FLEET TRUCKING
FRANCIS A. LEE EXTERIOR RESTORATION
FRANK MICELLI JR CONTRACTING
FTI TRUCKING
G & G CONTRACTING, INC.
GILSANZ, MURRAY, & STEFICEK
GINO CRACOLICI & SONS, INC.
GOLDSTEIN ASSOCIATES PLLC
GRACE INDUSTRIES
GUY NORDENSON AND ASSOCIATES
HALLEN WELDING SERVICE
HELMSMAN MANAGEMENT SERVICES, INC.
HGC CONTRACTING CORP.
HIGH RISE HOISTING AND SCAFFOLDING
HIGH-RISE ELECTRIC, INC.
HP ENVIRONMENTAL
JP EQUIPMENT RENTAL MATERIALS, INC.

KEVIN MCMANUS
KOCH SKANSKA, INC.
LAQUILLA CONSTRUCTION, INC.
LASTRADA GENERAL CONTRACTING CORP.
LESLIE E. ROBERTSON ASSOCIATES
LIBERTY MUTUAL GROUP
LIRO
LOCKWOOD, KESSLER & BARTLETT (LKB)
LUCIUS PITKIN
LZA TECH-DIVISION OF THORTON TOMASETTI
M. G. MCLAREN, P.C.
MANAFORT BROTHERS, INC.
MAZZOCCHI WRECKING, INC.
MEDCOR, INC.
MENT BROTHERS
MERIDIAN CONSTRUCTION GROUP
MG MCLAREN P.C.
MORETRENCH AMERICAN, CORP.
MRA ENGINEERING, PC
MUESER RUTLEDGE CONSULTING ENGINEERS
MUSCO SPORTS LIGHTING, LLC
NACIREMA INDUSTRIES
NEW YORK CRANE & EQUIPMENT CORP.
NICHOLSON CONSTRUCTION CO.
NICHOLSON/HEYWOOD JOINT VENTURE
OFF ROAD WELDING, INC.
THE OFFICES OF JAMES RUDERMAN, LLP
OLYMPIC PLUMBING AND HEATING
OVE ARUP & PARTNERS
PARSON GROUP
PETER SCALAMANDRE & SONS
PINNACLE ENVIRONMENTAL
PLAZA CONSTRUCTION CORP.
PRO SAFETY SERVICES, LLC
PT & L CONTRACTING CORP.
REGIONAL SCAFFOLD & HOISTING CO, INC.
RICH MARK ENVIRONMENTAL SERVICES, INC.
ROBER SILMAN ASSOCIATES
ROBERT C STEWART

ROBERT ERRAT
ROBERT L GEROSA
RODAR ENTERPRISES, INC.
ROYAL GM, INC.
SAB TRUCKING
SAFEWAY ENVIRONMENTAL
SEMCOR EQUIPMENT
SEVERUD ASSOCIATES CONSULTING ENGINEERS
SHELDRAKE ORGANIZATION, INC.
SILVERADO CONTRACTORS
SILVERITE CONTRACTING
SIMPSON, GUMPERTZ, & HEGER
SKIDMORE, OWINGS & MERRILL LLP
STAR DELTA ELECTRIC
STIER, ANDERSON & MALONE
SUMMIT STRUCTURES LLC
TELENET COMMUNICATIONS
THYSSEN KRUPP ELEVATOR CO.
TOMASETTI GROUP
TORETTA TRUCKING
TOTAL SAFETY CONSULTING LLC
TUCCI EQUIPMENT RENTAL CORP
TULLY CONSTRUCTION
TURNER CONSTRUCTION COMPANY
ULTIMATE DEMOLITION/CS HAULING (JOINT VENTURE)
UNITED STATES REBAR
VANGUARD EQUIPMENT RENTALS
VERTICAL TECHNOLOGIES
VOLLMER ASSOCIATES
W HARRIS & SON INC.
WALTER WHITE TRUCKING
WEEKS MARINE, INC.
WEIDLINGER ASSOCIATES
WHITNEY CONTRACTING
WOLKOW BRAKER ROOFING
YANNUZZI & SONS, INC.
YONKERS CONTRACTING
YORK HUNTER CONSTRUCTION, LLC
ZIEGENFUSS DRILLING

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

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IN RE WORLD TRADE CENTER :  
LOWER MANHATTAN DISASTER :  
SITE LITIGATION :  
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**CASE MANAGEMENT  
ORDER NO. \_\_\_\_\_**

21 MC 102 (AKH)

ALVIN K. HELLERSTEIN, U.S.D.J.:

This Case Management Order No. \_\_\_\_ shall apply to all plaintiffs in this consolidated (for pretrial purposes) Master Docket with cases pending as of April 12, 2010, and all new plaintiffs filing cases after April 12, 2010 (“Plaintiff” or “Plaintiffs”). The requirements imposed upon Plaintiffs by this Order shall apply only as against those actual or potential defendants identified on Exhibit A hereto (“Listed Defendants”).

1. Filing of Amended Complaints. Each Plaintiff with an existing case as of April 12, 2010, shall file and thereafter serve an Amended Complaint satisfying the requirements of the Federal Rules of Civil Procedure (“FRCP”) and this Case Management Order (“CMO”) on Listed Defendants’ Counsel by **[DATE]** *<date is determined as one hundred and thirty (130) days after the Affirmation of Final Settlement Agreement>*, if that Plaintiff’s case is not dismissed with prejudice prior to this deadline pursuant to the final settlement agreement. Plaintiff’s counsel shall comply with Rule 11 of the FRCP when filing any Complaint provided for herein.

2. Content of Complaints. New Plaintiffs that file cases or Plaintiffs that amend their cases pursuant to Section 1 of this CMO shall include in their Complaint or Amended Complaint, in addition to the information required pursuant to the FRCP, the detailed

information identified in Section 5 (e) through (h) herein and also information sufficient to respond adequately to the following:

- a. Reason Plaintiff's Claim Not Time-Barred. The reason Plaintiff's claim is not barred by the statute of limitations as to each Listed Defendant, and, as to the City of New York, New York City School Construction Authority, New York City Board of Education, New York City Department of Education, and City University of New York, not barred by notice of claim requirements;
- b. Facts Supporting Contention That Listed Defendants Not Immune From Liability. A statement of the facts supporting Plaintiff's contention that each particular Listed Defendant is not immune from liability under state and federal law. *See In re World Trade Ctr. Disaster Site Litig.*, 456 F. Supp. 2d 520 (S.D.N.Y. 2006).
- c. Listed Defendants Against Whom Liability is Alleged. The particular Listed Defendants that Plaintiff alleges are liable for his or her alleged injuries, and the particular factual and legal basis for such alleged liability specific to each particular Listed Defendant that Plaintiff sued. Or, Plaintiff's counsel may certify responses to the Requests set forth in Section II(B) of the jointly negotiated discovery demands referenced in CMO No. 6, with specificity as to each particular Listed Defendant that Plaintiff sued.

3. Completion of Database Information. Each Plaintiff with an existing case as of April 12, 2010, shall populate all required information to the Court Database by **[DATE]** <date

*is determined as one hundred and sixty (160) days after the Affirmation of Final Settlement Agreement*>, if that Plaintiff's case is not dismissed with prejudice pursuant to the final settlement agreement. New Plaintiffs that file cases after April 12, 2010, shall populate all required information to the Court Database within thirty (30) days after filing a Complaint in accordance with the FRCP and the requirements of this CMO.

4. Requirement to Serve Case-Specific Expert Report. Each Plaintiff (including each personal representative of an estate of any deceased or incompetent participant claiming injury as a result of work including, but not limited to debris removal, building cleaning, and other associated activities in buildings and areas in Lower Manhattan following the terrorist attack of September 11, 2001 ("World Trade Center-related work")), and the Plaintiff's counsel, in consultation with such medical advisor(s) as they see fit to consult, shall serve a case-specific expert report stating that there is a causal relationship between the individual Plaintiff's claimed injury and his or her World Trade Center-related work and exposure and the good faith basis for that opinion, executed under oath and subject to the penalties of perjury (a "Case-Specific Expert Report"). Each Plaintiff with an existing case as of April 12, 2010 shall serve his or her Case-Specific Expert Report on Listed Defendants' counsel by **[DATE]** *<date is determined as one hundred and eighty (180) days after the Affirmation of Final Settlement Agreement is executed>*, if that Plaintiff's case is not dismissed with prejudice pursuant to the final settlement agreement. New Plaintiffs that file cases after April 12, 2010, shall serve the Case-Specific Expert Report within ninety (90) days after filing a Complaint in accordance with the FRCP and the requirements of this CMO.

5. Contents of Case-Specific Expert Report. Each Case-Specific Expert Report shall include the following information:

- a. Plaintiff's Information. The Plaintiff's name and date of birth;
- b. Plaintiff's Employer. The name(s) of each and every employer for whom Plaintiff performed World Trade Center-related work;
- c. Expert's Information. The name, professional address, and curriculum vitae of the physician or medical expert, including a list of all publications authored by the expert within the preceding ten (10) years, and the foundation for the expert's opinion in relation to his or her professional experience;
- d. Plaintiff's Medical Records. A copy of the Plaintiff's medical records reviewed by the expert prior to the preparation of the Case-Specific Expert Report;
- e. Exposure Dates. The dates during which the Plaintiff alleges to have been exposed while participating in World Trade Center-related work and copies of documents relied upon, if any, as evidence of such alleged exposure;
- f. Exposure Locations. The location(s) at which the Plaintiff alleges to have been exposed and copies of documents relied upon, if any, as evidence of such alleged exposure. In identifying the alleged locations of exposure, the Plaintiff will make reference to one or more of the locations set forth in the operative Amended Master Complaint in this Master Docket.
- g. Plaintiff's Injury. If Plaintiff does not allege exacerbation of a condition existing prior to Plaintiff's participation in World Trade Center-related work, whether the Plaintiff's medical records reviewed by the expert

indicate that the Plaintiff experienced an injury causally related to alleged exposures while participating in World Trade Center-related work and, if so: (i) the nature of the alleged injury; (ii) the date of the alleged injury and the date the Plaintiff knew of the alleged injury; (iii) that the alleged injury was not a condition existing prior to Plaintiff's participation in World Trade Center-related work; and (iv) references to the particular medical record(s) relied upon as evidence of such alleged injury;

h. Exacerbation of Pre-existing Condition. If Plaintiff alleges exacerbation of a condition existing prior to Plaintiff's participation in World Trade Center-related work, whether the Plaintiff's medical records reviewed by the expert indicate that the Plaintiff experienced an exacerbation of a pre-existing condition causally related to alleged exposures while participating in World Trade Center-related work and, if so: (i) the nature of the alleged exacerbated condition; (ii) the date of the alleged exacerbated condition and the date the Plaintiff knew of the alleged exacerbation; and (iii) references to the particular medical record(s) relied upon as evidence of such alleged exacerbated condition;

i. Opinion Regarding Causation Sworn to by Medical Expert. An opinion that there is a causal relationship between the individual Plaintiff's claimed injury and his or her World Trade Center-related work, prepared by a physician or other medical expert and sworn/affirmed to and subject to the penalties of perjury;

6. Responding to Complaints. The time for Listed Defendants to file a response to any Complaint or Amended Complaint subject to the requirements of this CMO shall not begin to run until after the receipt by counsel for the Listed Defendants of the Case-Specific Expert Report required pursuant to this CMO.

7. Dismissal of Plaintiffs Who Fail to Comply With This Order.

- a. Notice of Non-compliance and Opportunity to Cure. If any Plaintiff fails to comply with any provision of this Order, including without limitation the timely service of a Complaint or Amended Complaint, the timely population of information to the Court Database, and/or the timely service of the Case-Specific Expert Report, counsel for Listed Defendants shall place Plaintiff on written notice of such non-compliance (“Notice of Non-Compliance”) specifying the non-compliance. Upon receipt of a Notice of Non-Compliance, Plaintiff shall have sixty (60) days to cure his or her Non-Compliance specified in the Notice of Non-compliance. During the period wherein non-compliance has not yet been cured, all litigation deadlines applicable to Listed Defendants, including without limitation the deadline to file and serve a pleading responsive to the operative Amended Master Complaint or that particular Plaintiff’s Check-Off Complaint, shall be held in abeyance.
- b. Failure to Cure. If, after the passage of sixty (60) days of service of a Notice of Non-Compliance, a Plaintiff fails to cure his or her non-compliance, upon application by the Listed Defendants, the Plaintiff will

be subject to having his or her claims, as well as any derivative claim(s), dismissed pursuant to FRCP 41(b) as against the Listed Defendants only.

c. Extensions of Time. The Court, on motion and for good cause shown, may order an extension of the time to comply with this Order.

8. Order Shall Become Void If Final Settlement Agreement Not Executed. This Order shall become void and of no prospective or retroactive effect as to any Plaintiff or Listed Defendant if affirmation of a final settlement agreement is not executed by all required signatories under the settlement agreement by [date of entry plus 120 days], such date subject to extension of time on motion and for good cause shown by any Listed Defendant.

9. Objections. Any party objecting to the entry of this Order shall file such objection by [date of entry plus 15 days].

**SO ORDERED.**

Date: \_\_\_\_\_, 2010  
New York, New York

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ALVIN K. HELLERSTEIN  
United States District Judge

**EXHIBIT A**

**LIST OF DEFENDANTS TO WHICH THIS ORDER APPLIES**

CITY OF NEW YORK, including the:
BOARD OF EDUCATION OF THE CITY OF NEW YORK
BOROUGH OF MANHATTAN COMMUNITY COLLEGE
CITY UNIVERSITY OF NEW YORK
NEW YORK CITY DEPARTMENT OF EDUCATION
NEW YORK CITY SCHOOL CONSTRUCTION AUTHORITY
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DMT ENTERPRISE
D'ONOFRIO GENERAL CONTRACTORS CORP.
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EAGLE ONE ROOFING CONTRACTORS, INC.
EAGLE SCAFFOLDING CO. (SEASONS)
EJ DAVIES, INC.
EN-TECH CORP.
ENTERTAINMENT PARTNERS
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MAZZOCCHI WRECKING, INC.
MEDCOR, INC.
MENT BROTHERS
MERIDIAN CONSTRUCTION GROUP
MG MCLAREN P.C.
MORETRENCH AMERICAN, CORP.
MRA ENGINEERING, PC
MUESER RUTLEDGE CONSULTING ENGINEERS
MUSCO SPORTS LIGHTING, LLC
NACIREMA INDUSTRIES
NEW YORK CRANE & EQUIPMENT CORP.
NICHOLSON CONSTRUCTION CO.
NICHOLSON/HEYWOOD JOINT VENTURE
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ROBER SILMAN ASSOCIATES
ROBERT C STEWART

ROBERT ERRAT
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RODAR ENTERPRISES, INC.
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SEMCOR EQUIPMENT
SEVERUD ASSOCIATES CONSULTING ENGINEERS
SHELDRAKE ORGANIZATION, INC.
SILVERADO CONTRACTORS
SILVERITE CONTRACTING
SIMPSON, GUMPERTZ, & HEGER
SKIDMORE, OWINGS & MERRILL LLP
STAR DELTA ELECTRIC
STIER, ANDERSON & MALONE
SUMMIT STRUCTURES LLC
TELENET COMMUNICATIONS
THYSSEN KRUPP ELEVATOR CO.
TOMASETTI GROUP
TORETTA TRUCKING
TOTAL SAFETY CONSULTING LLC
TUCCI EQUIPMENT RENTAL CORP
TULLY CONSTRUCTION
TURNER CONSTRUCTION COMPANY
ULTIMATE DEMOLITION/CS HAULING (JOINT VENTURE)
UNITED STATES REBAR
VANGUARD EQUIPMENT RENTALS
VERTICAL TECHNOLOGIES
VOLLMER ASSOCIATES
W HARRIS & SON INC.
WALTER WHITE TRUCKING
WEEKS MARINE, INC.
WEIDLINGER ASSOCIATES
WHITNEY CONTRACTING
WOLKOW BRAKER ROOFING
YANNUZZI & SONS, INC.
YONKERS CONTRACTING
YORK HUNTER CONSTRUCTION, LLC
ZIEGENFUSS DRILLING

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

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: **CASE MANAGEMENT**  
IN RE COMBINED WORLD TRADE CENTER : **ORDER NO. \_\_\_\_\_**  
DISASTER AND LOWER MANHATTAN :  
DISASTER SITE LITIGATION (straddler :  
plaintiffs) : 21 MC 103 (AKH)  
:   
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ALVIN K. HELLERSTEIN, U.S.D.J.:

This Case Management Order No. \_\_\_ shall apply to all plaintiffs with cases pending as of April 12, 2010, and all new plaintiffs filing cases after April 12, 2010 (“Plaintiff” or “Plaintiffs”). The requirements imposed upon Plaintiffs by this Order shall apply only as against those actual or potential defendants identified on Exhibit A hereto (“Listed Defendants”).

1. Filing of Amended Complaints. Each Plaintiff with an existing case as of April 12, 2010 shall file and thereafter serve an Amended Complaint satisfying the requirements of the Federal Rules of Civil Procedure (“FRCP”) and this Case Management Order (“CMO”) on Listed Defendants’ Counsel by [DATE] *<date is determined as one hundred and thirty (130) days after the Affirmation of Final Settlement Agreement>*, if that Plaintiff’s case is not dismissed with prejudice prior to this deadline pursuant to the final settlement agreement. Plaintiff’s Counsel shall comply with Rule 11 of the FRCP when filing any Complaint provided for herein.

2. Content of Complaints. New Plaintiffs that file cases or Plaintiffs that amend their cases pursuant to Section 1 of this CMO shall include in their Complaint or Amended Complaint, in addition to the information required pursuant to the FRCP, the detailed information identified in Section 5 (e) through (h) herein and also information sufficient to respond adequately to the following:

- a. Reason Plaintiff's Claim Not Time-Barred. The reason Plaintiff's claim is not barred by the statute of limitations as to each Listed Defendant, and, as to the City of New York, not barred by notice of claim requirements;
- b. Facts Supporting Contention That Listed Defendants Not Immune From Liability. A statement of the facts supporting Plaintiff's contention that each particular Listed Defendant is not immune from liability under state and federal law. *See In re World Trade Ctr. Disaster Site Litig.*, 456 F. Supp. 2d 520 (S.D.N.Y. 2006).
- c. Listed Defendants Against Whom Liability is Alleged. The particular Listed Defendants that Plaintiff alleges are liable for his or her alleged injuries at the World Trade Center Site or while participating in World Trade Center-related debris removal or building cleaning operations, and the particular factual and legal basis for such alleged liability specific to each particular Listed Defendant that Plaintiff sued. If the Listed Defendant is alleged to be liable for Plaintiff's alleged injuries at the World Trade Center Site, Plaintiff's counsel may also certify responses to Request Nos. 11, 12 and 13 of the November 21, 2007 CMO, with specificity as to each particular Listed Defendant that Plaintiff sued.

3. Completion of Database Information. Each Plaintiff with an existing case as of April 12, 2010, shall populate all required information to the Court Database by [DATE] *<date is determined as one hundred and sixty (160) days after the Affirmation of Final Settlement Agreement>*, if that Plaintiff's case is not dismissed with prejudice pursuant to the final settlement agreement. New Plaintiffs that file cases after April 12, 2010, shall populate all

required information to the Court Database within thirty (30) days after filing a Complaint in accordance with the FRCP and the requirements of this CMO.

4. Requirement to Serve Case-Specific Expert Report. Each Plaintiff (including each personal representative of an estate of any deceased or incompetent participant claiming injury from work in (a) World Trade Center rescue, recovery and/or debris removal operations and (b) in buildings and areas in Lower Manhattan doing World Trade Center related debris removal or building cleaning operations (“World Trade Center-related work”)), and the Plaintiff’s counsel, in consultation with such medical advisor(s) as they see fit to consult, shall serve a case-specific expert report stating that there is a causal relationship between the individual Plaintiff’s claimed injury and his or her World Trade Center Site service and World Trade Center-related work and exposure and the good faith basis for that opinion, executed under oath and subject to the penalties of perjury (a “Case-Specific Expert Report”). Each Plaintiff with an existing case as of April 12, 2010 shall serve his or her Case-Specific Expert Report on Listed Defendants’ counsel by **[DATE]** *<date is determined as one hundred and eighty (180) days after the Affirmation of Final Settlement Agreement is executed>*, if that Plaintiff’s case is not dismissed with prejudice pursuant to the final settlement agreement. New Plaintiffs that file cases after April 12, 2010, shall serve the Case-Specific Expert Report within ninety (90) days after filing a Complaint in accordance with the FRCP and the requirements of this CMO.

5. Contents of Case-Specific Expert Report. Each Case-Specific Expert Report shall include the following information:

a. Plaintiff’s Information. The Plaintiff’s name and date of birth;

- b. Plaintiff's Employer. The name(s) of each and every employer for whom Plaintiff worked at the World Trade Center Site and performed World Trade Center-related debris removal or building cleaning operations;
- c. Expert's Information. The name, professional address, and curriculum vitae of the physician or medical expert, including a list of all publications authored by the expert within the preceding ten (10) years, and the foundation for the expert's opinion in relation to his or her professional experience;
- d. Plaintiff's Medical Records. A copy of the Plaintiff's medical records reviewed by the expert prior to the preparation of the Case-Specific Expert Report;
- e. Exposure Dates. The dates during which the Plaintiff alleges to have been exposed at the World Trade Center Site and while participating in World Trade Center-related debris removal or building cleaning operations and copies of documents relied upon, if any, as evidence of such alleged exposure;
- f. Exposure Locations. The location(s) at which the Plaintiff alleges to have been exposed and copies of documents relied upon, if any, as evidence of such alleged exposure. In identifying the alleged locations of exposure, the Plaintiff will make reference to one or more of the locations set forth in the pull down menu or "pick list" used in conjunction with completion of the fields in the Court database administered by TCDI.

- g. Plaintiff's Injury. If Plaintiff does not allege exacerbation of a condition existing prior to Plaintiff's work at the World Trade Center Site and participation in World Trade Center-related debris removal or building cleaning operations, whether the Plaintiff's medical records reviewed by the expert indicate that the Plaintiff experienced an injury causally related to alleged exposures at the World Trade Center Site and while participating in World Trade Center-related debris removal or building cleaning operations and, if so: (i) the nature of the alleged injury; (ii) the date of the alleged injury and the date the Plaintiff knew of the alleged injury; (iii) that the alleged injury was not a condition existing prior to Plaintiff's work at the World Trade Center Site and participation in World Trade Center-related debris removal or building cleaning operations; and (iv) references to the particular medical record(s) relied upon as evidence of such alleged injury;
- h. Exacerbation of Pre-existing Condition. If Plaintiff alleges exacerbation of a condition existing prior to Plaintiff's work at the World Trade Center Site and participation in World Trade Center-related debris removal or building cleaning operations, whether the Plaintiff's medical records reviewed by the expert indicate that the Plaintiff experienced an exacerbation of a pre-existing condition causally related to alleged exposures at the World Trade Center Site and while participating in World Trade Center-related debris removal or building cleaning operations and, if so: (i) the nature of the alleged exacerbated condition; (ii) the date of the

alleged exacerbated condition and the date the Plaintiff knew of the alleged exacerbation; and (iii) references to the particular medical record(s) relied upon as evidence of such alleged exacerbated condition;

- i. Opinion Regarding Causation Sworn to by Medical Expert. An opinion that there is a causal relationship between the individual Plaintiff's claimed injury and his or her World Trade Center Site and World Trade Center-related service and exposure, prepared by a physician or other medical expert and sworn/affirmed to and subject to the penalties of perjury.

6. Responding to Complaints. The time for Listed Defendants to file a response to any Complaint or Amended Complaint subject to the requirements of this CMO shall not begin to run until after the receipt by counsel for the Listed Defendants of the Case-Specific Expert Report required pursuant to this CMO.

7. Dismissal of Plaintiffs Who Fail to Comply With This Order.

- a. Notice of Non-compliance and Opportunity to Cure. If any Plaintiff fails to comply with any provision of this Order, including without limitation the timely service of a Complaint or Amended Complaint, the timely population of information to the Court Database, and/or the timely service of the Case-Specific Expert Report, counsel for Listed Defendants shall place Plaintiff on written notice of such non-compliance ("Notice of Non-Compliance") specifying the non-compliance. Upon receipt of a Notice of Non-Compliance, Plaintiff shall have sixty (60) days to cure his or her Non-Compliance specified in the Notice of non-compliance. During the

period wherein non-compliance has not yet been cured, all litigation deadlines applicable to Listed Defendants, including without limitation the deadline to file and serve a pleading responsive to Plaintiff's Check-Off Complaint, shall be held in abeyance.

- b. Failure to Cure. If, after the passage of sixty (60) days of service of a Notice of Non-Compliance, a Plaintiff fails to cure his or her non-compliance, upon application by the Listed Defendants, the Plaintiff will be subject to having his or her claims, as well as any derivative claim(s), dismissed pursuant to FRCP 41(b) as against the Listed Defendants only.
- c. Extensions of Time. The Court, on motion and for good cause shown, may order an extension of the time to comply with this Order.

8. Order Shall Become Void If Final Settlement Agreement Not Executed. This Order shall become void and of no prospective or retroactive effect as to any Plaintiff or Listed Defendant if affirmation of a final settlement agreement is not executed by all required signatories under the settlement agreement by [date of entry plus 120 days], such date subject to extension of time on motion and for good cause shown by any Listed Defendant.

9. Objections. Any party objecting to the entry of this Order shall file such objection by [date of entry plus 15 days].

**SO ORDERED.**

Date: \_\_\_\_\_, 2010  
New York, New York

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ALVIN K. HELLERSTEIN  
United States District Judge



**EXHIBIT A**

**LIST OF DEFENDANTS TO WHICH THIS ORDER APPLIES**

CITY OF NEW YORK, including the:
BOARD OF EDUCATION OF THE CITY OF NEW YORK
BOROUGH OF MANHATTAN COMMUNITY COLLEGE
CITY UNIVERSITY OF NEW YORK
NEW YORK CITY DEPARTMENT OF EDUCATION
NEW YORK CITY SCHOOL CONSTRUCTION AUTHORITY
A RUSSO WRECKING
ACROW
ALLCOM ELECTRIC
AMEC CONSTRUCTION MANAGEMENT, INC.
AMEC EARTH AND ENVIRONMENTAL
ANTHONY CORTESE SPECIALIZED HAULING LLC
ASG PEST CONTROL
ATC GROUP SERV/DBA ATC ASSOCIATES
ATLANTIC HEYDT CORP.
ATLAS CONCRETE
AVANTI DEMOLITION & CARTING CORP.
BECHTEL CONSTRUCTION, INC.
BERGEN CONCRETE CUTTING
BERKEL & CO. CONTRACTORS, INC.
BIG APPLE WRECKING & CONSTRUCTION
BOVIS LEND LEASE LMB, INC.
BREEZE CARTING
BREEZE NATIONAL, INC.
BRER FOUR TRANSPORTATION
BURO HAPPOLD CONSULT ENG.
C & D FIREPROOFING
C & D PAINTING, INC.
C.B. CONTRACTING CORP.
CANRON CONSTRUCTION CORP.
CANTOR SEINUK GROUP
CERTIFIED FENCE CORP.
CIVETTA COUSINS
CLARCO ENTERPRISE CORP.
COMPONENT ASSEMBLY SYS
COORDINATED METALS, INC.
CORD CONTRACTING CO., INC.

CRAIG TEST BORING
CRITICOM INTERNATIONAL CORP
DAKOTA DEMO-TECH
DESIMONE CONSULTING ENGINEERS, PLLC
DCM ERECTORS, INC.
DIAMOND POINT EXCAVATION CORP
DIEGO CONSTRUCTION
DIVERSIFIED CARTING
DMT ENTERPRISE
D'ONOFRIO GENERAL CONTRACTORS CORP.
EAGLE LEASING & INDUSTRIAL SUPPLY (SEASONS)
EAGLE ONE ROOFING CONTRACTORS, INC.
EAGLE SCAFFOLDING CO. (SEASONS)
EJ DAVIES, INC.
EN-TECH CORP.
ENTERTAINMENT PARTNERS
ET ENVIRONMENTAL
EVERGREEN RECYCLING OF CORONA (EROC)
EWELL W. FINLEY, P.C.
EXECUTIVE MED SERVICES, PC
F&G MECHANICAL CORPORATION
FELIX EQUITIES, INC.
FLEET TRUCKING
FRANCIS A. LEE EXTERIOR RESTORATION
FRANK MICELLI JR CONTRACTING
FTI TRUCKING
G & G CONTRACTING, INC.
GILSANZ, MURRAY, & STEFICEK
GINO CRACOLICI & SONS, INC.
GOLDSTEIN ASSOCIATES PLLC
GRACE INDUSTRIES
GUY NORDENSON AND ASSOCIATES
HALLEN WELDING SERVICE
HELMSMAN MANAGEMENT SERVICES, INC.
HGC CONTRACTING CORP.
HIGH RISE HOISTING AND SCAFFOLDING
HIGH-RISE ELECTRIC, INC.
HP ENVIRONMENTAL
JP EQUIPMENT RENTAL MATERIALS, INC.

KEVIN MCMANUS
KOCH SKANSKA, INC.
LAQUILLA CONSTRUCTION, INC.
LASTRADA GENERAL CONTRACTING CORP.
LESLIE E. ROBERTSON ASSOCIATES
LIBERTY MUTUAL GROUP
LIRO
LOCKWOOD, KESSLER & BARTLETT (LKB)
LUCIUS PITKIN
LZA TECH-DIVISION OF THORTON TOMASETTI
M. G. MCLAREN, P.C.
MANAFORT BROTHERS, INC.
MAZZOCCHI WRECKING, INC.
MEDCOR, INC.
MENT BROTHERS
MERIDIAN CONSTRUCTION GROUP
MG MCLAREN P.C.
MORETRENCH AMERICAN, CORP.
MRA ENGINEERING, PC
MUESER RUTLEDGE CONSULTING ENGINEERS
MUSCO SPORTS LIGHTING, LLC
NACIREMA INDUSTRIES
NEW YORK CRANE & EQUIPMENT CORP.
NICHOLSON CONSTRUCTION CO.
NICHOLSON/HEYWOOD JOINT VENTURE
OFF ROAD WELDING, INC.
THE OFFICES OF JAMES RUDERMAN, LLP
OLYMPIC PLUMBING AND HEATING
OVE ARUP & PARTNERS
PARSON GROUP
PETER SCALAMANDRE & SONS
PINNACLE ENVIRONMENTAL
PLAZA CONSTRUCTION CORP.
PRO SAFETY SERVICES, LLC
PT & L CONTRACTING CORP.
REGIONAL SCAFFOLD & HOISTING CO, INC.
RICH MARK ENVIRONMENTAL SERVICES, INC.
ROBER SILMAN ASSOCIATES
ROBERT C STEWART

ROBERT ERRAT
ROBERT L GEROSA
RODAR ENTERPRISES, INC.
ROYAL GM, INC.
SAB TRUCKING
SAFEWAY ENVIRONMENTAL
SEMCOR EQUIPMENT
SEVERUD ASSOCIATES CONSULTING ENGINEERS
SHELDRAKE ORGANIZATION, INC.
SILVERADO CONTRACTORS
SILVERITE CONTRACTING
SIMPSON, GUMPERTZ, & HEGER
SKIDMORE, OWINGS & MERRILL LLP
STAR DELTA ELECTRIC
STIER, ANDERSON & MALONE
SUMMIT STRUCTURES LLC
TELENET COMMUNICATIONS
THYSSEN KRUPP ELEVATOR CO.
TOMASETTI GROUP
TORETTA TRUCKING
TOTAL SAFETY CONSULTING LLC
TUCCI EQUIPMENT RENTAL CORP
TULLY CONSTRUCTION
TURNER CONSTRUCTION COMPANY
ULTIMATE DEMOLITION/CS HAULING (JOINT VENTURE)
UNITED STATES REBAR
VANGUARD EQUIPMENT RENTALS
VERTICAL TECHNOLOGIES
VOLLMER ASSOCIATES
W HARRIS & SON INC.
WALTER WHITE TRUCKING
WEEKS MARINE, INC.
WEIDLINGER ASSOCIATES
WHITNEY CONTRACTING
WOLKOW BRAKER ROOFING
YANNUZZI & SONS, INC.
YONKERS CONTRACTING
YORK HUNTER CONSTRUCTION, LLC
ZIEGENFUSS DRILLING